

Receipt date: 06/14/2006

10/582820

10582820 - GAU: 1634

AP3 Rec'd PCT/PTO 14 JUN 2006

PTO/SB/08A (08-03)

Approved for Release by USPTO 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

|   |   |    |   |                          |           |
|---|---|----|---|--------------------------|-----------|
| <p>Substitute for form 1449/PTO</p> <p><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b></p> <p><i>(Use as many sheets as necessary)</i></p> |   |    |   | <b>Complete if Known</b> |           |
|   |   |    |   | Application No.          | TBA       |
|   |   |    |   | Filing Date:             | Herewith  |
|   |   |    |   | First Named Inventor     | Liyan He  |
|   |   |    |   | Art Unit                 | TBA       |
|   |   |    |   | Examiner Name            | TBA       |
| Sheet   | 1 | of | 2 | Attorney Docket Number   | 03-903-US |

| U.S. PATENT DOCUMENTS |                          |  |                                |  |   |
|-----------------------|--------------------------|--|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |                          | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
| /BLS/                 |                          | US- 2003/215844 – A1                     | 11-20-2003                     | Chapsky, <i>et al.</i>                             |   |
|                       |                          |  |                                |  |   |
|                       |                          |  |                                |  |   |
|                       |                          |  |                                |  |   |
|                       |                          |  |                                |  |   |
|                       |                          |  |                                |  |   |
|                       |                          |  |                                |  |   |

| FOREIGN PATENT DOCUMENTS |                             |  |                                   |  |   |
|--------------------------|-----------------------------|--|-----------------------------------|--|---|
| Examiner<br>Initials*    | Cite<br>No.<br><sup>1</sup> | Foreign Patent Document  | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear |
|                          |                             | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if<br>known) |                                   |  |   |
| /BLS/                    |                             | WO – 2004/053501 – A2  | 06-24-2004                        | Arizona Board of Regents                           |   |
|                          |                             |  |                                   |  |   |
|                          |                             |  |                                   |  |   |
|                          |                             |  |                                   |  |   |
|                          |                             |  |                                   |  |   |
|                          |                             |  |                                   |  |   |

|                       |                  |                    |            |
|-----------------------|------------------|--------------------|------------|
| Examiner<br>Signature | /Bradley Sisson/ | Date<br>Considered | 09/21/2009 |
|-----------------------|------------------|--------------------|------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

10/582820  
AP3 Rec'd PCT/PTO 14 JUN 2003  
Approved for use through 06/30/2006. OMB 0651-0031

Substitute for form 1449B/PTO

*(Use as many sheets as necessary)*

**Complete if Known**

|                        |           |
|------------------------|-----------|
| Application Number     | TBA       |
| Filing Date            | Herewith  |
| First Named Inventor   | Liyan HE  |
| Art Unit               | TBA       |
| Examiner Name          | TBA       |
| Attorney Docket Number | 03-903-US |

Sheet 2

[illegible]

Examiner  
Signature

/Bradley Sisson/

Date  
Considered

09/21/2009

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

American LegalNet, Inc.  
www.USCourtForms.com